| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 4.97(c))	Docket No. KS-4316US

In Re Application Of: Hans Kragl
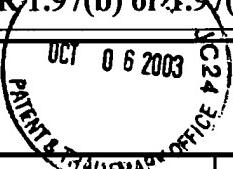
Serial No. 10/608,922	Filing Date June 27, 2003	Examiner Not Yet Known	Group Art Unit Not Yet Known

Title: **Coupling Device for Optically Coupling an Optical Waveguide to an Electro-Optical Element**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒   The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐   The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

    ☐   the statement specified in 37 CFR 1.97(e);

            OR

    ☐   the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(e))	Docket No. KS-4316US

In Re Application: **Hans Kragl**
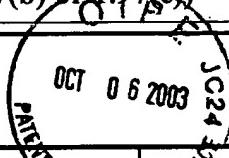
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Coupling Device for Optically Coupling an Optical Waveguide to an Electro-Optical Element

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

☐ A check in the amount of   is attached.

☒ The Director is hereby authorized to charge and credit Deposit Account No.   **10-0270**
as described below.

    ☒   Charge the amount of

    ☒   Credit any overpayment.

    ☐   Charge any additional fee required.

Certificate of Transmission by Facsimile*	**Certificate of Mailing by First Class Mail**
I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:	I certify that this document and fee is being deposited on October 2, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
_____ (Date)	_Signature of Person Mailing Correspondence_ Terasa Johnson
Signature	
Typed or Printed Name of Person Signing Certificate	_Typed or Printed Name of Person Mailing Certificate_
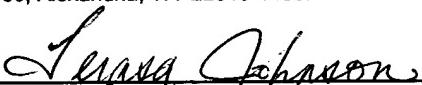
*This certificate may only be used if paying by deposit account.

Signature

Dated: **October 3, 2003**

John W. Bain, Reg. No. 42,283
Jansson, Shupe & Munger, Ltd.
245 Main Street
Racine, WI 53403
262-632-6900

cc:

	Docket Number (Optional)	Application Number
INFORMATION DISCLOSURE CITATION *(Use several sheets if necessary)*	KS-4316US	10/608,922

Applicant(s)
Kragl, Hans

Filing Date	Group Art Unit
June 27, 2003	Not Yet Known

OCT 0 6 2003

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES	Translation NO
		DE 38 34 395	04/12/90	Germany				✔
		WO 00/57492	09/28/00	PCT			✔	

OTHER DOCUMENTS   *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		Kragl, H. et al.: MICROMID: A low cost fabrication technology for polymer fiber transceiver modules. POF Conference 2000, Boston, U.S.A.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-A820
(also form PTO-1449)

P09A/REV04

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